

## Annexure- B

**Investor Complaint Data to be displayed by Stock Brokers on their Web site**

**Investor complaints data to be disclosed by Stock Broker on website on monthly basis: For January, 2026**

| Sr. No. | Received from           | Carried Forward from previous month | Received During the Month | Total Pending# | Resolved* | Pending complaints for < 3 months | Pending complaints > 3months | Average Resolution time^ (in days) |
|---------|-------------------------|-------------------------------------|---------------------------|----------------|-----------|-----------------------------------|------------------------------|------------------------------------|
| 1       | Directly from Investors | 0                                   | 0                         | 0              | 0         | 0                                 | 0                            | 0                                  |
| 2       | SEBI (SCORES 2.0)       | 0                                   | 0                         | 0              | 0         | 0                                 | 0                            | 0                                  |
| 3       | Stock Exchanges         | 0                                   | 0                         | 0              | 0         | 0                                 | 0                            | 0                                  |
| 4       | DP                      | 0                                   | 0                         | 0              | 0         | 0                                 | 0                            | 0                                  |
|         | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                  | <b>0</b>       | <b>0</b>  | <b>0</b>                          | <b>0</b>                     | <b>0</b>                           |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### **Trend of monthly disposal of complaints**

| Sr. No. | Month          | Carried forward from previous month | Received | Resolved* | Pending# | Average Resolution time^ (in days) |
|---------|----------------|-------------------------------------|----------|-----------|----------|------------------------------------|
| 1       | April, 2025    | 0                                   | 0        | 0         | 0        | 0                                  |
| 2       | May 2025       | 0                                   | 0        | 0         | 0        | 0                                  |
| 3       | June 2025      | 0                                   | 0        | 0         | 0        | 0                                  |
| 4       | July 2025      | 0                                   | 0        | 0         | 0        | 0                                  |
| 5       | August 2025    | 0                                   | 0        | 0         | 0        | 0                                  |
| 6       | September 2025 | 0                                   | 0        | 0         | 0        | 0                                  |
| 7       | October 2025   | 0                                   | 0        | 0         | 0        | 0                                  |
| 8       | November 2025  | 0                                   | 0        | 0         | 0        | 0                                  |
| 9       | December 2025  | 0                                   | 0        | 0         | 0        | 0                                  |
| 10      | January 2026   | 0                                   | 0        | 0         | 0        | 0                                  |

\*Inclusive of complaints of previous months resolved in the current month. # Inclusive of complaints pending as on the last day of the month.

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

| Sr. No. | Year    | Carried forward from previous year | Received during the year | Resolved during the year ** | Pending at the end of the year ## |
|---------|---------|------------------------------------|--------------------------|-----------------------------|-----------------------------------|
| 1       | 2020-21 | 0                                  | 0                        | 0                           | 0                                 |
| 2       | 2021-22 | 0                                  | 1                        | 1                           | 0                                 |
| 3       | 2022-23 | 0                                  | 0                        | 0                           | 0                                 |
| 4       | 2023-24 | 0                                  | 0                        | 0                           | 0                                 |
| 5       | 2024-25 | 0                                  | 2                        | 2                           | 0                                 |
| 6       | 2025-26 | 0                                  | 0                        | 0                           | 0                                 |

\*\* Inclusive of complaints of previous years resolved in the current year.

## Inclusive of complaints pending as on the last day of the year.