

Date: - ____/____/20__

To,
ANS Pvt Ltd.
Arham Financial Center,
Panchanath Main Road,
Opp. Star Chambers
Rajkot: - 360 001

Reg.: **Activation for trading new segments**

Sir,
I am your client vide trading code no: - _____, I would now like to be activated in the following Segment.

| <u>Segment</u> | <u>Signature</u> |
|---|-------------------------|
| (01) NSE Cash Segment. | |
| (02) BSE Cash Segment. | |
| (03) NSE Equity Derivative Segment. | |
| (04) BSE Equity Derivative Segment. | |
| (05) NSE Currency Derivative Segment. | |
| (06) NSE SLBM Segment. | |
| (07) MCX Commodity Derivative Segment. | |
| (08) NCDEX Commodity Derivative Segment. | |

| | |
|----------------------------|---|
| Gross Annual Income | <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lac <input type="checkbox"/> 5-10 Lac <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> > 25 Lacs |
| Net worth | In Rs. _____ as on Date ____/____/____ |

I herewith confirm that I have read the SEBI prescribed Rights and Obligations Statement for respective segments as available on website ansplshares.com

Kindly activate me in the segment against which my signature appears

Thanking You,

Client Name: - _____

Client Signature: - _____

Note: - For activation in BSE & NSE Equity Derivative, Currency Derivative, NSE SLBM & MCX Commodity Segments please submit one of the following documents.

(01) Copy of ITR acknowledgment, **(02)** Copy of Annual Account, **(03)** Copy of Form No 16 in case of salary income, **(04)** Networth Certificate from C.A., **(05)** Salary slip duly stamped and signed by Employer, **(06)** Bank Account Statement or Bank Pass Book Xerox copy of last Six Months (latest), **(07)** Copy of Demat A/c Holding Statement **(08)** Any Other document sustaining ownership of assets,

Client
Signature  

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Subject: Additional Risk Disclosure document for Options Trading as per Circular MCX/INSP/244/2017 dated July 21, 2017 of Multi Commodity Exchange of India Limited & Circular NCDEX/COMPLIANCE–017/2017/266 dated October 10, 2017 of National Commodity & Derivatives Exchange Limited.

Name: _____

PAN: _____

UCC: _____

Dear Sir, I / WE undertake that, further to MY / OUR KYC details I / WE intend to trade in Commodity Options subject to regulatory requirements of the Exchange and SEBI from time to time. I / WE further undertake that I / WE have read, understood and accepted the Risks of Option Trading as mentioned below.

Annexure 1 Additional Risk Disclosure documents for Options Trading:

Risk of Option holders:

1. An option holder runs the risk of losing the entire amount paid for the option in a relatively short period of time. This risk reflects the nature of an option as a wasting asset which becomes worthless when it expires. An option holder who neither sells his option in the secondary market nor exercises it prior to its expiration will necessarily lose his entire investment in the option. If the price of the underlying does not change in the anticipated direction before the option expires, to an extent sufficient to cover the cost of the option, the investor may lose all or a significant part of his investment in the option.
2. The Exchanges may impose exercise restrictions and have absolute authority to restrict the exercise of options at certain times in specified circumstances.

Risks of Option Writers:

1. If the price movement of the underlying is not in the anticipated direction, the option writer runs the risks of losing substantial amount.
2. The risk of being an option writer may be reduced by the purchase of other options on the same underlying interest and thereby assuming a spread position or by acquiring other types of hedging positions in the options markets or other markets. However, even where the writer has assumed a spread or other hedging position, the risks may still be significant. A spread position is not necessarily less risky than a simple 'long' or 'short' position.
3. Transactions that involve buying and writing multiple options in combination, or buying or writing options in combination with buying or selling short the underlying interests, present additional risks to investors. Combination transactions, such as option spreads, are more complex than buying or writing a single option. And it should be further noted that, as in any area of investing, a complexity not well understood is, in itself, a risk factor. While this is not to suggest that combination strategies should not be considered, it is advisable, as is the case with all investments in options, to consult with someone who is experienced and knowledgeable with respect to the risks and potential rewards of combination transactions under various market circumstances.

Date : _____

Place : _____

Client
Signature  